

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: C. A. Walls et al
SERIAL NO.: (Div. of 09/211,732)
FILED:
TITLE: Polymer Gel Molds

DOCKET NO.: 0446.1
ART UNIT:
EXAMINER:



INFORMATION DISCLOSURE STATEMENT under 37 CFR 1.56 and 1.97

The Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir:

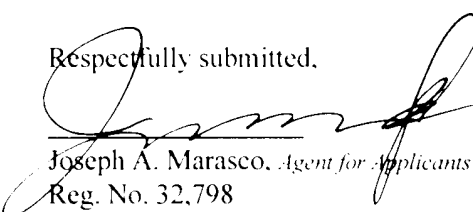
Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each document is being submitted herewith to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

Applicants present these references that the Patent Office may determine any relevancy thereof to the presently claimed invention.

Applicants respectfully request that the references be expressly considered during the prosecution of the subject application and made of record therein and appear among the "references cited" on any patent to issue therefrom.

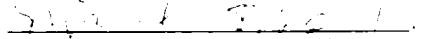
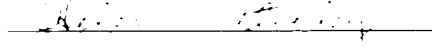
Applicants also request that an initialed copy of Form PTO-1449 be returned in accordance with MPEP Section 609.

Respectfully submitted,


Joseph A. Marasco, Agent for Applicants
Reg. No. 32,798
UT-Battelle, LLC
P.O. Box 2008 MS 6498
Oak Ridge, TN 37831
(865) 574-4178

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service EXPRESS MAIL, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on

Express Mail No. EH963694317US

Please type a plus sign (+) inside this box → +

PTO/SB/08A (10-96)

Approved for use through 10/31/99 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number

Filing Date

First Named Inventor

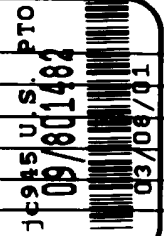
Claudia A. Walls

Group Art Unit

Examiner Name

Attorney Docket Number

0446.1



U.S. PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	3,427,689		Windecker	02/18/1969	
	2	3,937,774		Wiley et al	02/10/1976	
	3	4,034,032		Hendricks	07/05/1977	
	4	4,383,818		Swannell	05/17/1983	
	5	4,592,887		Bando et al	06/03/1986	
	6	4,824,628		Curfman	04/25/1989	
	7	4,887,789		Harris et al	12/19/1989	
	8	4,894,194		Janney	01/16/1990	
	9	4,919,388		Koike et al	04/24/1990	
	10	4,980,112		Masters	12/25/1990	
	11	5,028,362		Janney et al	07/02/1991	
	12	5,094,607		Masters	03/10/1992	
	13	5,145,908		Jenny et al	09/08/1992	
	14	5,158,717		Lai	10/27/1992	
	15	5,540,410		Lust et al	07/30/1996	
	16	5,984,256		Endo	11/16/1999	
	17	6,003,832		Ueno et al	12/21/1999	

FOREIGN PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	18		60-24201		Suwa Seikosha	12/85		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.